

# Recognition Algorithms MPRI 2017–2018

Michel Habib  
habib@irif.fr

<http://www.irif.fr/~habib>

Sophie Germain, septembre 2017

# Schedule

Algorithmic aspects of modular decomposition

- Historical Notes

- Bottom up Techniques

- Top Down techniques

Interval recognition

Recognition of permutation graphs

## Applications of modular decomposition

- ▶ A very natural operation to define on discrete structures, searching for regularities.
- ▶ A structure theory for comparability graphs
- ▶ A compact encoding using module contraction and if we keep at each prime node the structure of the prime graph.
- ▶ Divide and conquer paradigm can be applied to solve optimization problems. For example to test isomorphism.

- ▶ A very basic graph algorithmic problem (similar to graph isomorphism problem).
- ▶ A better understanding of graph algorithms and their data structures.

## Historical notes

The big list of published algorithms for modular decomposition (N.B. Perhaps some items are missing . . . please give me the missing references)

- ▶ Cowan, James, Stanton 1972  $O(n^4)$
- ▶ Maurer 1977  $O(n^4)$  directed graphs
- ▶ Blass 1978  $O(n^3)$
- ▶ Habib, Maurer 1979  $O(n^3)$
- ▶ Habib 1981  $O(n^3)$  directed graphs
- ▶ Corneil, Perl, Stewart 1981,  $O(n + m)$  cograph recognition.
- ▶ Cunningham 1982  $O(n^3)$  directed graphs
- ▶ Buer, Mohring 1983  $O(n^3)$
- ▶ McConnell 1987  $O(n^3)$
- ▶ McConnell, Spinrad 1989  $O(n^2)$  incremental
- ▶ Adhar, Peng 1990  $O(\log^2 n)$ ,  $O(nm)$  proc. parallel, cographs, CRCW-PRAM

- ▶ Lin, Olariu 1991  $O(\log n)$ ,  $O(nm)$  proc. parallel, cographs, EREW-PRAM
- ▶ Spinrad 1992  $O(n + \text{malpha}(m, n))$
- ▶ Cournier, Habib 1993  $O(n + \text{malpha}(m, n))$
- ▶ Ehrenfeucht, Gabow, McConnell, Spinrad 1994  $O(n^3)$  2-structures
- ▶ Ehrenfeucht, Harju, Rozenberg 1994  $O(n^2)$  2-structures, incremental
- ▶ McConnell, Spinrad 1994  $O(n + m)$
- ▶ Cournier, Habib 1994  $O(n + m)$
- ▶ Bonizzoni, Della Vedova 1995  $O(n^{3k-5})$  Committee decomposition for hypergraphs
- ▶ Dahlhaus 1995  $O(\log^2 n)$ ,  $O(n + m)$  proc. parallel, cographs, CRCW-PRAM
- ▶ Dahlhaus 1995  $O(\log^2 n)$ ,  $O(n + m)$  proc. parallel, CRCW-PRAM

- ▶ Habib, Huchard, Sprinrad 1995  $O(n + m)$  inheritance graphs
- ▶ McConnell 1995  $O(n^2)$  2-structures, incremental
- ▶ Capelle, Habib 1997  $O(n + m)$  if a factoring permutation is given
- ▶ Dahlhaus, Gustedt, McConnell 1997  $O(n + m)$
- ▶ Dahlhaus, Gustedt, McConnell 1999  $O(n + m)$  directed graphs
- ▶ Habib, Paul, Viennot 1999  $O(n + m \log n)$  via a factoring permutation
- ▶ McConnell, Spinrad 2000  $O(n + m \log n)$
- ▶ Habib, Paul 2001  $O(n + m)$  cographs via a factoring permutation
- ▶ Capelle, Habib, Montgolfier 2002  $O(n + m)$  directed graphs if a factoring permutation is provided.
- ▶ Shamir, Sharan 2003  $O(n + m)$  cographs, fully-dynamic
- ▶ McConnell, Montgolfier 2003  $O(n + m)$  directed graphs
- ▶ Habib, Montgolfier, Paul 2003  $O(n + m)$  computes a factoring permutation

- ▶ **Simpler Linear-Time Modular Decomposition via Recursive Factorizing Permutation** Tedder, Corneil, Habib, Paul, ICALP (1) 2008 : 634-646.



## Why it is so important ?

[Jerry Spinrad' book 03]

The new [linear time] algorithm [MS99] is currently too complex to describe easily [...]. The first  $O(n^2)$  partitioning algorithms were similarly complex; I hope and believe that in a number of years the linear algorithm can be simplified as well.

## Minimal Modules

### Minimal module containing a set

For every  $A \subseteq V$  there exists a unique minimal module containing  $A$

### Proof :

Since the module family is partitive and therefore closed under  $\cap$ .

# Splitters

## Definition

A splitter for a  $A \subseteq V$ , is a vertex  $z \notin A$   
s.t.  $\exists x, y \in A$  with  $zx \in E$  and  $zy \notin E$ .

## Modules

$A \subseteq V$  is a module iff  $A$  does not have any splitter.

## Usefull lemma

If  $z$  is a splitter for a  $A \subseteq V$ , then any module containing  $A$  must also contain  $z$ .

## Submodularity

Let us denote by  $s(A)$  the number of splitters of a set  $A$ , then  $s$  is a submodular function.

### Definition

A function is submodular if

$$\forall A, B \subseteq E$$

$$f(A \cup B) + f(A \cap B) \leq f(A) + f(B)$$

This is the basic idea of Uno and Yagura's algorithm for the modular decomposition of permutation graphs in  $O(n)$ .

## Bottom-up Techniques

### Sketch of the algorithm

For each pair of vertices  $x, y \in V$

Compute the minimal module  $m(x, y)$  containing  $x$  and  $y$ .

### Closure with splitters

While there exists a splitter add it to the set.

### Complexity

$O(n^2 \cdot (n + m))$

## Primality testing

One can derive a primality test since if there exists a non trivial module, it contains at least two vertices.

For some problems Bottom-Up techniques are the best known.

## Origins : Golumbic, Kaplan, Shamir 1995

**Input** :  $G_1 = (V, E_1)$  and  $G_2 = (V, E_2)$  2 undirected graphs such that  $E_1 \subseteq E_2$  and  $\Pi$  be a graph property.

**Results** : a sandwich graph  $G_s = (V, E_s)$  satisfying property  $\Pi$  and such that  $E_1 \subseteq E_s \subseteq E_2$ .

Edges of  $E_1$  are forced, those of  $E_2$  are optional ones, but those of  $E_3 = \overline{E_2}$  are forbidden.

Unfortunately most cases are NP-complete, as for example of  $\Pi$

- ▶  $G_s$  being comparability, chordal, strongly chordal, ...



## Only few polynomial cases

- ▶ cographs Golubic, Kaplan, Shamir (1995)
- ▶ sandwich module Cerioli, Everett, de Figueiredo, Klein (1998)
- ...

## Natural question

Find efficient algorithms for these polynomial cases.

## Sandwich module problem

**Input** :  $G_1 = (V, E_1)$  and  $G_2 = (V, E_2)$  2 undirected graphs such that  $E_1 \subseteq E_2$ .

**Result** : a sandwich graph  $G_s = (V, E_s)$  having a non trivial module and such that  $E_1 \subseteq E_s \subseteq E_2$ .

## Minimal Sandwich Module

### Splitter

For a subset  $A \subseteq V$ , a splitter is a vertex  $z \notin A$

s.t.  $\exists x, y \in A$  with  $zx \in E_1$  and  $zy \notin E_2$  (or equivalently  $zy \in E_3$ )

A splitter is also called **bias vertex**.

### Algorithm

The computation of a minimal sandwich module can be done in  $O(n^2 \cdot (n + m_1 + m_3))$ .

Hard to do better with this idea, using a bottom up approach.

## Brute Force Algorithm

Using the decomposition theorem, we only have to compute at most  $n$  times some connected components of  $G$  or its complement.  
 $O(n \cdot (n + m))$  complexity.

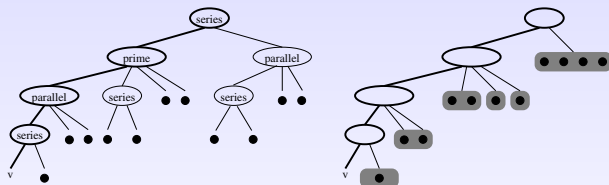
## Three explored directions

- ▶ Ehrenfeucht et al approach
- ▶ Using Factoring Permutation
- ▶ Using LexBFS (as for cographs).

## Ehrenfeucht et al approach

 $\mathcal{M}(G, v)$ 

$\mathcal{M}(G, v)$  is the partition of  $V(G)$  composed by  $\{v\}$  and the maximal modules of  $G$  that do not contain  $v$ .



### Principle of the Ehrenfeucht et al.'s algorithm

1. Compute  $\mathcal{M}(G, v)$
2. Compute  $MD(G/\mathcal{M}(G, v))$
3. For each part  $\mathcal{X} \in \mathcal{M}(G, v)$  compute  $MD(G[\mathcal{X}])$

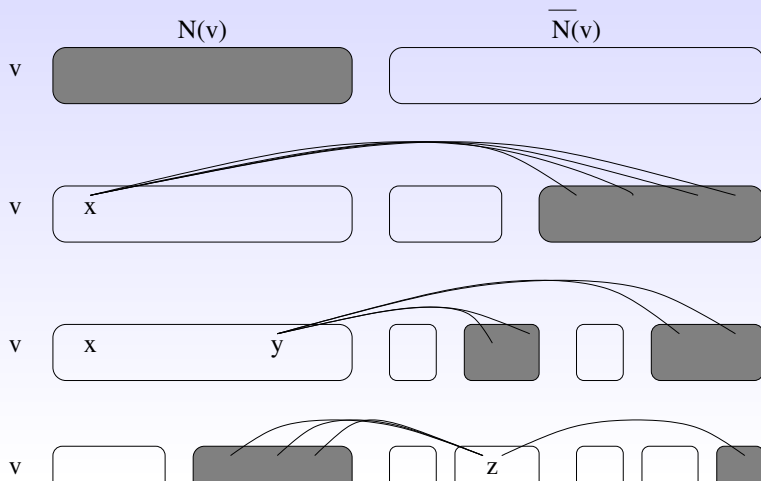
## Computing $\mathcal{M}(G, v)$ via Partition Refinement

### Splitter again

If  $z$  is a splitter of  $A \subseteq V(G)$  then any strong module contained in  $A$  is either contained in  $N(z) \cap A$  or in  $A - N(z)$ .

Computation of  $\mathcal{M}(G, v)$ 

$\Rightarrow O(n + m \log n)$  time using vertex partitioning algorithm.





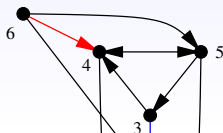
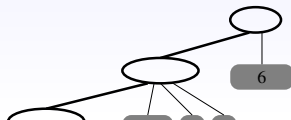
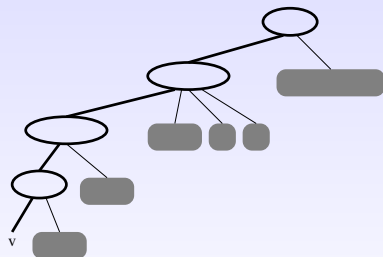
1. Particular partition refinement rule :  
**Do not refine its part**  
Just to maintain the invariant :  
Modular partition  $\leq$  Current partition
2. To obtain a *logn*  
**Avoid the biggest part**

How to reconstruct the modular decomposition tree from the partition  $\mathcal{M}(G, v)$ ?

The most difficult step in many algorithms.

## Computation of $MD(G/\mathcal{M}(G,v))$

- ▶ The modules of  $G/\mathcal{M}(G,v)$  are linearly nested :  
any non-trivial module contains  $v$
- ▶ The *forcing graph*  $\mathcal{F}(G, v)$  has edge  $\vec{xy}$  iff  $y$  separates  $x$  and  $v$



- ▶ The strong connected components of the *forcing graph*  $\mathcal{F}(G, v)$  provides the modules of  $G/\mathcal{M}(G, v)$ .
- ▶ Recurse on each module.

## Complexity

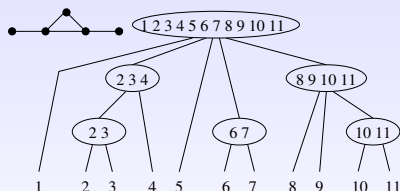
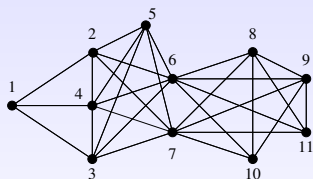
- ▶ [Ehrenfeucht et al.'94] gives a  $O(n^2)$  complexity. It is quite tricky to efficiently compute the *forcing graph*  $\mathcal{F}(G, v)$ .
- ▶ [MS00] gives a very simple  $O(n + m \log n)$  algorithm based on vertex partitioning.
- ▶ [DGM'01] proposes a  $O(n + m \cdot \alpha(n, m))$  and a more complicated  $O(n + m)$  implementation.

## Other algorithms

- ▶ [CH94] and [MS94] present the first linear algorithms.
- ▶ [MS99] present a new linear time algorithm which extends to transitive orientation.

## Factoring permutations

The set of strong modules is nested into an inclusion tree (called the **modular decomposition tree**  $MD(G)$  of  $G$ ).



A factoring permutation is simply a left-right ordering of the leaves of a plane drawing of  $MD(G)$ .

Consequence : it always exists factoring permutations.

There are easier to compute than the modular decomposition tree.

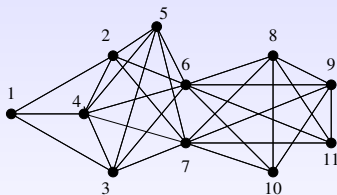
## Invariant

Any strong module is a factor of the partition.

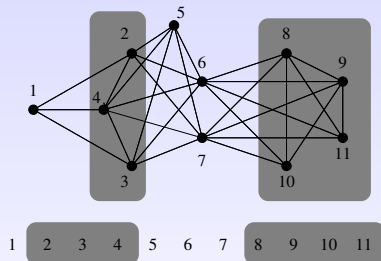


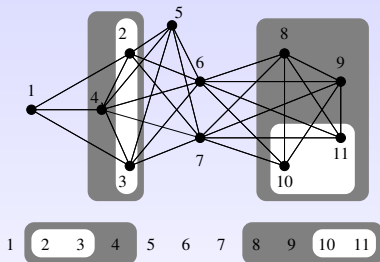
## Factoring permutation

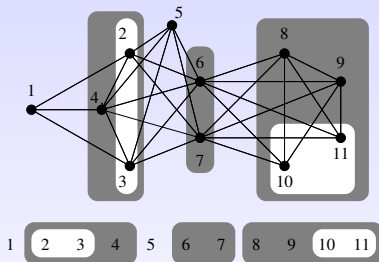
A **factoring permutation** of a graph  $G = (V, E)$  is a permutation of  $V$  in which any strong module of  $G$  is a factor. [CH 97]



1 2 3 4 5 6 7 8 9 10 11







- ▶ From  $G$  to factoring permutation :  $O(n + m \log n)$  [HPV99]
- ▶ From factoring permutation to  $MD(G)$  :  $O(n + m)$  [CdMH01] [UY00] [BXHP05]

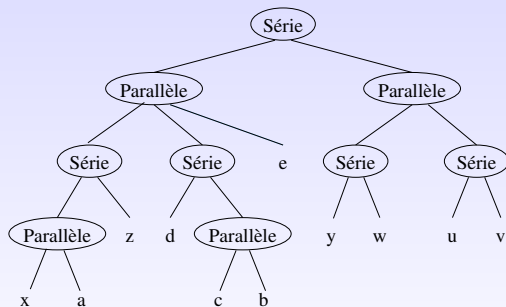
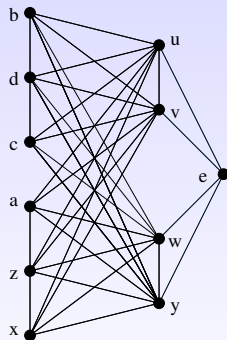
## Factoring permutation

Factoring permutation (of cographs) via vertex partitioning

Starting with the partition  $\{\overline{N(x)}, \{x\}, N(x)\}$ , we maintain the following invariant :

It exists a factoring permutation smaller than the current partition.

## Recall of the tree structure of cographs

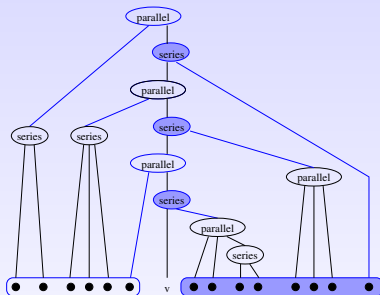


## Splitter interpretation

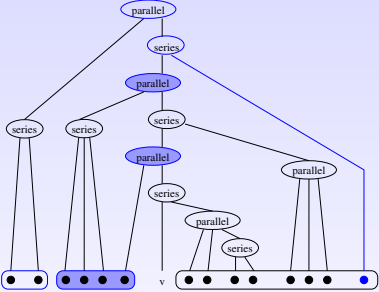
Starting with the partition  $\{N(x), \{x\}, \overline{N(x)}\}$ , we maintain the following invariant :

There exists a factoring permutation smaller than the current partition.





- └ Algorithmic aspects of modular decomposition
- └ Top Down techniques









## Invariant

Any strong module is a factor of the partition.

1. Brute force, just partition refinement. Using recursivity.  $O(nm)$
2. Using the rule : take the smallest half  $O(m \log n)$
3. Using at most one vertex per part to refine the other parts.

Idea : only two parts (the extreme ones) refine nothing. Then we can restart the procedure to the closest one to the initial pivot.

In the whole a vertex is at most used twice as a pivot.

$O(n + m)$

Modular decomposition algorithms via partition refinement are very similar than the cograph recognition algorithms just a little more complicated.





Anna Bretscher, Derek G. Corneil, Michel Habib, and Christophe Paul.

A simple linear time lexbfs cograph recognition algorithm.  
*SIAM J. Discrete Math.*, 22(4) :1277–1296, 2008.



Michel Habib and Christophe Paul.

A simple linear time algorithm for cograph recognition.  
*Discrete Applied Mathematics*, 145(2) :183–197, 2005.



Michel Habib and Christophe Paul.

A survey of the algorithmic aspects of modular decomposition.  
*Computer Science Review*, 4(1) :41–59, 2010.

## Characterization Theorem for interval graphs (Folklore)

- (i)  $G = (V, E)$  is an interval graph, i.e. ;  $G$  is the intersection graph of a family of intervals of the real line
- (ii) There exists a total ordering  $\tau$  of the vertices of  $V$  s.t.  $\forall x, y, z \in G$  with  $x \leq_{\tau} y \leq_{\tau} z$  and  $xz \in E$  then  $xy \in E$ .
- (iii)  $G$  admits a maximal clique tree which is a chain.

(iii) implies (i) is obvious, since the chain of maximal cliques gives the interval representation.

(i) implies (iii). From the interval representation it is easy  $O(n)$  to compute the sequence of maximal cliques.

(i) implies (ii) : one can associate to every vertex  $x$  of  $G$  an interval  $[left(x), right(x)]$  of the real line.

Let us define  $\tau$  as the left sides ordering :  $x \leq_{\tau} y$  iff  $left(x) \leq left(y)$ . It is easy to verify that  $\tau$  satisfies the condition.

(ii) implies (i) : starting with  $\tau$ , for every vertex  $x$  we associate an interval in  $\tau$   $[x, last(x)]$ , where  $last(x)$  is its rightmost neighbor in  $\tau$ . This provides an interval representation of  $G$ .

To recognize an interval graph, we just have to compute a maximal clique tree and check if it is a chain?

Difficulty : an interval graph has many clique trees and among them some are chains

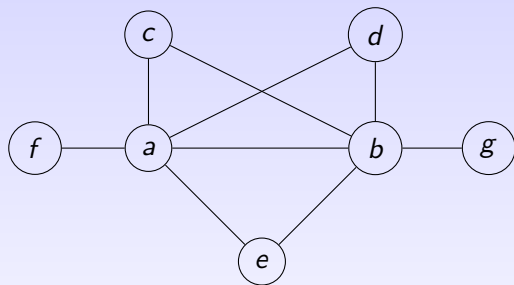


FIGURE:  $G$  a chordal graph

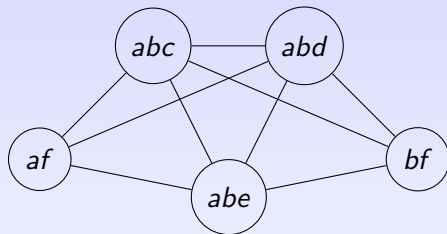
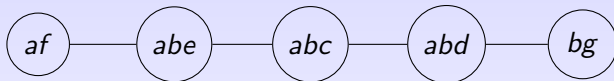


FIGURE:  $\mathcal{C}_r(G)$  its reduced clique graph



**FIGURE:** A good maximal clique tree  $T_1$  showing that  $G$  is an interval graph

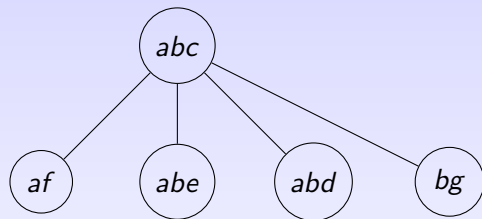


FIGURE: Another maximal clique tree  $T_2$  obtained via LBFS  
 $\sigma = c, a, b|d|e|f|g$



How can we transform  $T_2$  to obtain  $T_1$ ?

Many linear time algorithms already proposed for interval graph recognition ....

using nice algorithmic tools :

graph searches, modular decomposition, partition refinement, PQ-trees ...

## Linear time recognition algorithms for interval graphs

- ▶ Booth and Lueker 1976, using PQ-trees.
- ▶ Korte and Mohring 1981 using LBFS and Modified PQ-trees.
- ▶ Hsu and Ma 1995, using modular decomposition and a variation on Maximal Cardinality Search.
- ▶ Corneil, Olariu and Stewart SODA 1998, using a series of 6 consecutive LBFS, published in 2010.
- ▶ M.H, McConnell, Paul and Viennot 2000, using LBFS and partition refinement on maximal cliques.
- ▶ P. Li, Y. Wu 2014, using a series of 4 kind of LBFS
- ▶ ...

## A partition refinement algorithm working on maximal cliques

1. Compute a tree  $T$  using LBFS  
If  $T$  is not a maximal clique tree; then  $G$  is not chordal, neither interval.
2. Start from the last maximal clique visited by the search  
Refine the cliques with the minimal separator.
3. Refine until each part is a singleton
4. If a part is not a singleton start recursively from the last clique of this part according to LBFS.
5. Check if the last partition is a chain of maximal cliques.

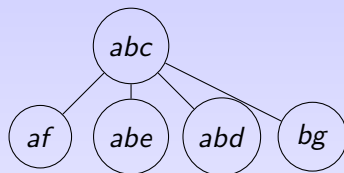


FIGURE:  $T_2$  obtained via LBFS  $\sigma = c, a, b|d|e|f|g$

- ▶  $\{bg\}|\{abc, abd, abe, af\}$
- ▶ refine with  $b$  gives :  
 $\{bg\}|\{abc, abd, abe\}, |\{af\}$
- ▶ refine with  $a$  does not change the partition
- ▶  $abe$  is the last maximal clique of the central part.  
 $\{bg\}|\{abe\}|\{abc, abd\}, |\{af\}$   
 refine with  $a, b$  does not change the partition
- ▶  $abd$  is the last maximal clique of the central part.  
 $\{bg\}|\{abe\}|\{abd\}|\{abc\}, |\{af\}$

## Similar problems

1. Recognition of permutation graphs
2. Consecutive one property
3. Transitive orientation of a comparability graph
4. Planarity testing
5. Decomposition of boolean matrices
6. Robinsonian matrices
7. Other problems on symmetric positive matrices.

## Recognition of Permutation graphs

### PERMUTATION(G) :

**Input:** A connected graph  $G = (V, E)$ , cocomp ordering  $\sigma$  of  $G$  and cocomp ordering  $\tau$  of  $\overline{G}$

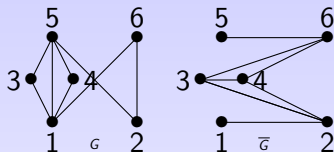
**Output:** The message that at least one of  $\sigma, \tau$  is not a cocomp ordering of its graph ( $G, \overline{G}$ ) or total orderings  $\pi_1, \pi_2^{dual}$  of  $1, 2, \dots, |V|$  that certify that  $G$  is a permutation graph

$P_{G(\tau)} \leftarrow$  an acyclic orientation of  $G$  using  $\tau$ ;

$\pi_1 \leftarrow \text{dfgreedy}^+(P_{G(\tau)}, \sigma)$ ;

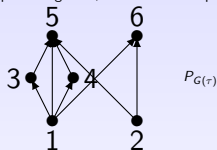
$\pi_2 \leftarrow \text{dfgreedy}^+(P_{G(\tau)}, \sigma^{dual})$ ;

Check if  $\pi_1, \pi_2^{dual}$  represent  $G$  as a permutation graph ;



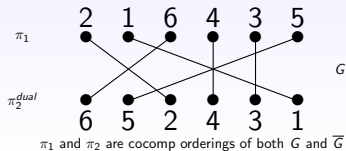
$\sigma = 1, 3, 5, 4, 2, 6$  is a cocomp ordering of  $G$ , but not a cocomp ordering of  $\bar{G}$  (see umbrella  $(1, 5, 2)$ )

$\tau = 1, 2, 3, 4, 5, 6$  is a cocomp ordering of  $\bar{G}$ , but not a cocomp ordering of  $G$  (see umbrella  $(2, 3, 6)$ )



$$\pi_1 = 2, 1, 6, 4, 3, 5 = \text{dfgreedy}(P_{G(\tau)}, \sigma)$$

$$\pi_2 = 1, 3, 4, 2, 5, 6 = \text{dfgreedy}(P_{G(\tau)}, \sigma^{\text{dual}})$$





- ▶ *dfgreedy* is a graph search applied on posets and if  $\sigma$  is a cocomp then  $dfgreedy^+(P_{G(\tau)}, \sigma)$  is also a cocomp.
- ▶ If  $G$  is a permutation graph,  $dfgreedy^+(P_{G(\tau)}, \sigma)$  and  $dfgreedy^+(P_{G(\tau)}, \sigma^{dual})$  provide a representation of  $G$  as a permutation graph.